

# When Ackermann meets Goodstein

**David Fernández-Duque**

Ghent University

International Workshop on Gödel's Incompleteness Theorems

Wuhan University

## Some history

1928. Ackermann discovers a 'simple' function that is not primitive recursive

## Some history

1928. Ackermann discovers a 'simple' function that is not primitive recursive

1931. Gödel's incompleteness theorems

## Some history

1928. Ackermann discovers a 'simple' function that is not primitive recursive

1931. Gödel's incompleteness theorems

1944. Goodstein proves the termination of a certain process using techniques outside of Peano arithmetic

## Some history

1928. Ackermann discovers a 'simple' function that is not primitive recursive

1931. Gödel's incompleteness theorems

1944. Goodstein proves the termination of a certain process using techniques outside of Peano arithmetic

1947. Goodstein defines the hyperoperation function, a variant of the Ackermann function

## Some history

1928. Ackermann discovers a 'simple' function that is not primitive recursive

1931. Gödel's incompleteness theorems

1944. Goodstein proves the termination of a certain process using techniques outside of Peano arithmetic

1947. Goodstein defines the hyperoperation function, a variant of the Ackermann function

1982. Kirby and Paris show that Goodstein's principle is independent from Peano arithmetic

## Goodstein's principle

1.  $G_0 m = m$

## Goodstein's principle

1.  $G_0 m = m = 22$



## Goodstein's principle

1.  $G_0 m = m = 22 = 2^{2^2} + 2^2 + 2$

## Goodstein's principle

1.  $G_0 m = m = 22 = 2^{2^2} + 2^2 + 2$

2.  $G_1 m$

## Goodstein's principle

1.  $G_0 m = m = 22 = 2^{2^2} + 2^2 + 2$

2.  $G_1 m = 3^{3^3} + 3^3 + 3$

## Goodstein's principle

1.  $G_0 m = m = 22 = 2^{2^2} + 2^2 + 2$

2.  $G_1 m = 3^{3^3} + 3^3 + 3 - 1$

## Goodstein's principle

1.  $G_0 m = m = 22 = 2^{2^2} + 2^2 + 2$

2.  $G_1 m = 3^{3^3} + 3^3 + 3 - 1 = 3^{3^3} + 3^3 + 2$

## Goodstein's principle

1.  $G_0 m = m = 22 = 2^{2^2} + 2^2 + 2$

2.  $G_1 m = 3^{3^3} + 3^3 + 3 - 1 = 3^{3^3} + 3^3 + 2$

3.  $G_2 m$

## Goodstein's principle

1.  $G_0 m = m = 22 = 2^{2^2} + 2^2 + 2$

2.  $G_1 m = 3^{3^3} + 3^3 + 3 - 1 = 3^{3^3} + 3^3 + 2$

3.  $G_2 m = 4^{4^4} + 4^4 + 2$

## Goodstein's principle

1.  $G_0 m = m = 22 = 2^{2^2} + 2^2 + 2$

2.  $G_1 m = 3^{3^3} + 3^3 + 3 - 1 = 3^{3^3} + 3^3 + 2$

3.  $G_2 m = 4^{4^4} + 4^4 + 2 - 1$



## Goodstein's principle

1.  $G_0 m = m = 22 = 2^{2^2} + 2^2 + 2$

2.  $G_1 m = 3^{3^3} + 3^3 + 3 - 1 = 3^{3^3} + 3^3 + 2$

3.  $G_2 m = 4^{4^4} + 4^4 + 2 - 1 = 4^{4^4} + 4^4 + 1$

## Goodstein's principle

1.  $G_0 m = m = 22 = 2^{2^2} + 2^2 + 2$

2.  $G_1 m = 3^{3^3} + 3^3 + 3 - 1 = 3^{3^3} + 3^3 + 2$

3.  $G_2 m = 4^{4^4} + 4^4 + 2 - 1 = 4^{4^4} + 4^4 + 1$

4. ...

## Goodstein's principle

1.  $G_0 m = m = 22 = 2^{2^2} + 2^2 + 2$

2.  $G_1 m = 3^{3^3} + 3^3 + 3 - 1 = 3^{3^3} + 3^3 + 2$

3.  $G_2 m = 4^{4^4} + 4^4 + 2 - 1 = 4^{4^4} + 4^4 + 1$

4. ...

5.  $G_{l^*} m = 0$

## Goodstein's principle

$$1. G_0 m = m = 22 = 2^{2^2} + 2^2 + 2 \quad \sim \omega^{\omega^\omega} + \omega^\omega + \omega$$

$$2. G_1 m = 3^{3^3} + 3^3 + 3 - 1 = 3^{3^3} + 3^3 + 2$$

$$3. G_2 m = 4^{4^4} + 4^4 + 2 - 1 = 4^{4^4} + 4^4 + 1$$

4. ...

$$5. G_{i^*} m = 0$$

## Goodstein's principle

$$1. G_0 m = m = 22 = 2^{2^2} + 2^2 + 2 \quad \sim \omega^{\omega^\omega} + \omega^\omega + \omega$$

$$2. G_1 m = 3^{3^3} + 3^3 + 3 - 1 = 3^{3^3} + 3^3 + 2 \quad \sim \omega^{\omega^\omega} + \omega^\omega + 2$$

$$3. G_2 m = 4^{4^4} + 4^4 + 2 - 1 = 4^{4^4} + 4^4 + 1$$

4. ...

$$5. G_{l^*} m = 0$$

## Goodstein's principle

$$1. G_0 m = m = 22 = 2^{2^2} + 2^2 + 2 \quad \sim \omega^{\omega\omega} + \omega^\omega + \omega$$

$$2. G_1 m = 3^{3^3} + 3^3 + 3 - 1 = 3^{3^3} + 3^3 + 2 \quad \sim \omega^{\omega\omega} + \omega^\omega + 2$$

$$3. G_2 m = 4^{4^4} + 4^4 + 2 - 1 = 4^{4^4} + 4^4 + 1 \quad \sim \omega^{\omega\omega} + \omega^\omega + 1$$

4. ...

$$5. G_{i^*} m = 0$$

## Goodstein's principle

$$1. G_0 m = m = 22 = 2^{2^2} + 2^2 + 2 \quad \sim \omega^{\omega\omega} + \omega^\omega + \omega$$

$$2. G_1 m = 3^{3^3} + 3^3 + 3 - 1 = 3^{3^3} + 3^3 + 2 \quad \sim \omega^{\omega\omega} + \omega^\omega + 2$$

$$3. G_2 m = 4^{4^4} + 4^4 + 2 - 1 = 4^{4^4} + 4^4 + 1 \quad \sim \omega^{\omega\omega} + \omega^\omega + 1$$

4. ...

$$5. G_{i^*} m = 0 \quad \sim 0$$

# Elements of a Goodstein process

1. A notation system for natural numbers



# Elements of a Goodstein process

1. A notation system for natural numbers
2. A notion of normal form  $m \mapsto \text{NF}_k(m)$

# Elements of a Goodstein process

1. A notation system for natural numbers
2. A notion of normal form  $m \mapsto \text{NF}_k(m)$
3. A base change operation  $m \mapsto \langle \ell/k \rangle m$

## Parametrized Ackermann functions

Fix  $k$  and define  $A_a(k, b) = A_a b$  recursively:

▶  $A_a(-1) = 1$

(auxiliary value)

## Parametrized Ackermann functions

Fix  $k$  and define  $A_a(k, b) = A_a b$  recursively:

▶  $A_a(-1) = 1$

(auxiliary value)

▶  $A_0 b = k^b$

## Parametrized Ackermann functions

Fix  $k$  and define  $A_a(k, b) = A_a b$  recursively:

▶  $A_a(-1) = 1$  (auxiliary value)

▶  $A_0 b = k^b$

▶  $A_{a+1} b = A_a^k A_{a+1}(b-1)$  ( $b \in \mathbb{N}$ )

## Parametrized Ackermann functions

Fix  $k$  and define  $A_a(k, b) = A_a b$  recursively:

▶  $A_a(-1) = 1$  (auxiliary value)

▶  $A_0 b = k^b$

▶  $A_{a+1} b = A_a^k A_{a+1}(b-1)$  ( $b \in \mathbb{N}$ )

Base- $k$  Ackermannian terms: Built from  $0$ ,  $x + y$ ,  $A_x(k, y)$ .

## Ackermannian normal forms

Fix  $k$ , set  $A_a b = A_a(k, b)$ .

**Goal:** Write  $m = A_a b + c$  in a **canonical** way.

## Ackermannian normal forms

Fix  $k$ , set  $A_a b = A_a(k, b)$ .

**Goal:** Write  $m = A_a b + c$  in a **canonical** way.

1. Choose  $a$  maximal such that  $A_a 0 \leq m$ .



## Ackermannian normal forms

Fix  $k$ , set  $A_a b = A_a(k, b)$ .

Goal: Write  $m = A_a b + c$  in a canonical way.

1. Choose  $a$  maximal such that  $A_a 0 \leq m$ .
2. Then, choose  $b$  maximal such that  $A_a b \leq m$ .

## Ackermannian normal forms

Fix  $k$ , set  $A_a b = A_a(k, b)$ .

Goal: Write  $m = A_a b + c$  in a canonical way.

1. Choose  $a$  maximal such that  $A_a 0 \leq m$ .
2. Then, choose  $b$  maximal such that  $A_a b \leq m$ .
3. Write  $m = A_a b + c$ . This is the (simple) Ackermannian representation for  $m$ .

## Term representation

Suppose  $m = A_a b + c$  in Ackermannian representation and  $k \geq 2$ .

**Goal:** Assign a term  $\text{NF}_k(m)$  to  $m$ .

## Term representation

Suppose  $m = A_a b + c$  in Ackermannian representation and  $k \geq 2$ .

**Goal:** Assign a term  $\text{NF}_k(m)$  to  $m$ .

Option 1 (hereditary subscripts): Treat  $b$  as unary, write  $a, c$  in normal form.

## Term representation

Suppose  $m = A_a b + c$  in Ackermannian representation and  $k \geq 2$ .

**Goal:** Assign a term  $\text{NF}_k(m)$  to  $m$ .

**Option 1 (hereditary subscripts):** Treat  $b$  as unary, write  $a, c$  in normal form.

**Option 2 (hereditary argument):** Treat  $a$  as unary, write  $b, c$  in normal form.

## Term representation

Suppose  $m = A_a b + c$  in Ackermannian representation and  $k \geq 2$ .

**Goal:** Assign a term  $\text{NF}_k(m)$  to  $m$ .

**Option 1 (hereditary subscripts):** Treat  $b$  as unary, write  $a, c$  in normal form.

**Option 2 (hereditary argument):** Treat  $a$  as unary, write  $b, c$  in normal form.

**Option 3 (fully hereditary):** Write  $a, b, c$  in normal form.

## Term representation

Suppose  $m = A_a b + c$  in Ackermannian representation and  $k \geq 2$ .

**Goal:** Assign a term  $\text{NF}_k(m)$  to  $m$ .

**Option 1 (hereditary subscripts):** Treat  $b$  as unary, write  $a, c$  in normal form.

**Option 2 (hereditary argument):** Treat  $a$  as unary, write  $b, c$  in normal form.

**Option 3 (fully hereditary):** Write  $a, b, c$  in normal form.

**Example:**  $6 = A_1 0 + 2$

## Term representation

Suppose  $m = A_a b + c$  in Ackermannian representation and  $k \geq 2$ .

**Goal:** Assign a term  $\text{NF}_k(m)$  to  $m$ .

**Option 1 (hereditary subscripts):** Treat  $b$  as unary, write  $a, c$  in normal form.

**Option 2 (hereditary argument):** Treat  $a$  as unary, write  $b, c$  in normal form.

**Option 3 (fully hereditary):** Write  $a, b, c$  in normal form.

**Example:**  $6 = A_1 0 + 2 = A_1 0 + A_0 A_0 0$



## Term representation

Suppose  $m = A_a b + c$  in Ackermannian representation and  $k \geq 2$ .

**Goal:** Assign a term  $\text{NF}_k(m)$  to  $m$ .

**Option 1 (hereditary subscripts):** Treat  $b$  as unary, write  $a, c$  in normal form.

**Option 2 (hereditary argument):** Treat  $a$  as unary, write  $b, c$  in normal form.

**Option 3 (fully hereditary):** Write  $a, b, c$  in normal form.

**Example:**  $6 = A_1 0 + 2 = A_1 0 + A_0 A_0 0 = A_{A_0 0} 0 + A_0 A_0 0$

## Base-change operations

1.  $\langle \ell/k \rangle (A_a(k, b) + c) = A_{\langle \ell/k \rangle a}(\ell, b) + \langle \ell/k \rangle c$

## Base-change operations

$$1. \langle \ell/k \rangle (A_a(k, b) + c) = A_{\langle \ell/k \rangle a}(\ell, b) + \langle \ell/k \rangle c$$

$$2. \langle \ell/k \rangle (A_a(k, b) + c) = A_a(\ell, \langle \ell/k \rangle b) + \langle \ell/k \rangle c$$

## Base-change operations

$$1. \langle \ell/k \rangle (A_a(k, b) + c) = A_{\langle \ell/k \rangle a}(\ell, b) + \langle \ell/k \rangle c$$

$$2. \langle \ell/k \rangle (A_a(k, b) + c) = A_a(\ell, \langle \ell/k \rangle b) + \langle \ell/k \rangle c$$

$$3. \langle \ell/k \rangle (A_a(k, b) + c) = A_{\langle \ell/k \rangle a}(\ell, \langle \ell/k \rangle b) + \langle \ell/k \rangle c$$

## Ackermannian Goodstein sequences

Fix  $m \in \mathbb{N}$  and define a sequence  $(G_i m)_{i < \alpha}$ :

## Ackermannian Goodstein sequences

Fix  $m \in \mathbb{N}$  and define a sequence  $(G_i m)_{i < \alpha}$ :

▶  $G_0 m = m$

# Ackermannian Goodstein sequences

Fix  $m \in \mathbb{N}$  and define a sequence  $(G_i m)_{i < \alpha}$ :

▶  $G_0 m = m$

▶ if  $G_i m > 0$ ,  $G_{i+1} m = \langle i + 3/i + 2 \rangle G_i m - 1$

## Ackermannian Goodstein sequences

Fix  $m \in \mathbb{N}$  and define a sequence  $(G_i m)_{i < \alpha}$ :

▶  $G_0 m = m$

▶ if  $G_i m > 0$ ,  $G_{i+1} m = \langle i + 3/i + 2 \rangle G_i m - 1$

▶ if  $G_i m = 0$ ,  $G_{i+1} m$  is undefined.



## Questions for this talk

1. Are these sequences finite?

## Questions for this talk

1. Are these sequences finite?
2. If so, what is the proof-theoretic strength of termination?

## Questions for this talk

1. Are these sequences finite?
2. If so, what is the proof-theoretic strength of termination?
3. What is the **maximal** proof-theoretic strength of termination of an Ackermannian Goodstein process?

## Predicative theories of second order arithmetic

Language of SOA: Extend the language of Peano arithmetic with  $t \in X$  and  $\forall X \subseteq \mathbb{N} \varphi$ .

# Predicative theories of second order arithmetic

Language of SOA: Extend the language of Peano arithmetic with  $t \in X$  and  $\forall X \subseteq \mathbb{N} \varphi$ .

$ACA_0$ : Induction axiom plus “for every set  $X$ , the Turing jump of  $X$  exists.”

# Predicative theories of second order arithmetic

Language of SOA: Extend the language of Peano arithmetic with  $t \in X$  and  $\forall X \subseteq \mathbb{N} \varphi$ .

$ACA_0$ : Induction axiom plus “for every set  $X$ , the Turing jump of  $X$  exists.”

$ACA'_0$ :  $ACA_0$  plus “for every set  $X$  and  $n$ , the  $n^{\text{th}}$  Turing jump of  $X$  exists.”

# Predicative theories of second order arithmetic

Language of SOA: Extend the language of Peano arithmetic with  $t \in X$  and  $\forall X \subseteq \mathbb{N} \varphi$ .

$ACA_0$ : Induction axiom plus “for every set  $X$ , the Turing jump of  $X$  exists.”

$ACA'_0$ :  $ACA_0$  plus “for every set  $X$  and  $n$ , the  $n^{\text{th}}$  Turing jump of  $X$  exists.”

$ACA_0^+$ :  $ACA_0$  plus “for every set  $X$ , the  $\omega^{\text{th}}$  Turing jump of  $X$  exists.”

# Predicative theories of second order arithmetic

Language of SOA: Extend the language of Peano arithmetic with  $t \in X$  and  $\forall X \subseteq \mathbb{N} \varphi$ .

$ACA_0$ : Induction axiom plus “for every set  $X$ , the Turing jump of  $X$  exists.”

$ACA'_0$ :  $ACA_0$  plus “for every set  $X$  and  $n$ , the  $n^{\text{th}}$  Turing jump of  $X$  exists.”

$ACA_0^+$ :  $ACA_0$  plus “for every set  $X$ , the  $\omega^{\text{th}}$  Turing jump of  $X$  exists.”

$ATR_0$ :  $ACA_0$  plus “for every set  $X$  and ordinal  $\alpha$ , the  $\alpha^{\text{th}}$  Turing jump of  $X$  exists.”



# The Feferman-Schütte ordinal

For ordinals  $\alpha, \beta$  define:

# The Feferman-Schütte ordinal

For ordinals  $\alpha, \beta$  define:

▶  $\varphi_0 \beta = \omega^\beta$

# The Feferman-Schütte ordinal

For ordinals  $\alpha, \beta$  define:

▶  $\varphi_0\beta = \omega^\beta$

▶ For  $\alpha > 0$ ,  $\varphi_\alpha\beta$  enumerates  $\{\xi : \forall \gamma < \alpha (\varphi_\gamma\xi = \xi)\}$

# The Feferman-Schütte ordinal

For ordinals  $\alpha, \beta$  define:

▶  $\varphi_0\beta = \omega^\beta$

▶ For  $\alpha > 0$ ,  $\varphi_\alpha\beta$  enumerates  $\{\xi : \forall \gamma < \alpha (\varphi_\gamma\xi = \xi)\}$

We usually write  $\varepsilon_\alpha$  instead of  $\varphi_1\alpha$ . These are the ordinals  $\xi$  s.t.  $\omega^\xi = \xi$ .

# The Feferman-Schütte ordinal

For ordinals  $\alpha, \beta$  define:

▶  $\varphi_0\beta = \omega^\beta$

▶ For  $\alpha > 0$ ,  $\varphi_\alpha\beta$  enumerates  $\{\xi : \forall \gamma < \alpha (\varphi_\gamma\xi = \xi)\}$

We usually write  $\varepsilon_\alpha$  instead of  $\varphi_1\alpha$ . These are the ordinals  $\xi$  s.t.  $\omega^\xi = \xi$ .

**Fact:** Every  $\xi > 0$  can be written uniquely in the form  $\varphi_\alpha\beta + \gamma$ , with  $\gamma < \xi$  and  $\beta < \varphi_\alpha\beta$ .

# The Feferman-Schütte ordinal

For ordinals  $\alpha, \beta$  define:

▶  $\varphi_0\beta = \omega^\beta$

▶ For  $\alpha > 0$ ,  $\varphi_\alpha\beta$  enumerates  $\{\xi : \forall \gamma < \alpha (\varphi_\gamma\xi = \xi)\}$

We usually write  $\varepsilon_\alpha$  instead of  $\varphi_1\alpha$ . These are the ordinals  $\xi$  s.t.  $\omega^\xi = \xi$ .

**Fact:** Every  $\xi > 0$  can be written uniquely in the form  $\varphi_\alpha\beta + \gamma$ , with  $\gamma < \xi$  and  $\beta < \varphi_\alpha\beta$ .

$\Gamma_0$ : First fixed point of  $\gamma \mapsto \varphi_\gamma 0$ .

# The Feferman-Schütte ordinal

For ordinals  $\alpha, \beta$  define:

▶  $\varphi_0\beta = \omega^\beta$

▶ For  $\alpha > 0$ ,  $\varphi_\alpha\beta$  enumerates  $\{\xi : \forall \gamma < \alpha (\varphi_\gamma\xi = \xi)\}$

We usually write  $\varepsilon_\alpha$  instead of  $\varphi_1\alpha$ . These are the ordinals  $\xi$  s.t.  $\omega^\xi = \xi$ .

**Fact:** Every  $\xi > 0$  can be written uniquely in the form  $\varphi_\alpha\beta + \gamma$ , with  $\gamma < \xi$  and  $\beta < \varphi_\alpha\beta$ .

$\Gamma_0$ : First fixed point of  $\gamma \mapsto \varphi_\gamma 0$ .

If  $\xi < \Gamma_0$ , then  $\alpha, \beta, \gamma < \xi$ .

## Proof-theoretic strength

Let  $\xi[n]$  denote the  $n^{\text{th}}$  element of the **fundamental sequence** for  $\xi < \Gamma_0$ .

Define  $\xi[[n]] = \xi[1][2] \dots [n]$ .



## Proof-theoretic strength

Let  $\xi[n]$  denote the  $n^{\text{th}}$  element of the **fundamental sequence** for  $\xi < \Gamma_0$ .

Define  $\xi[[n]] = \xi[1][2] \dots [n]$ .

**Fact:** For all  $\xi < \Gamma_0$  there is  $i$  s.t.  $\xi[[i]] = 0$ .

## Proof-theoretic strength

Let  $\xi[n]$  denote the  $n^{\text{th}}$  element of the **fundamental sequence** for  $\xi < \Gamma_0$ .

Define  $\xi[[n]] = \xi[1][2] \dots [n]$ .

**Fact:** For all  $\xi < \Gamma_0$  there is  $i$  s.t.  $\xi[[i]] = 0$ .

In this talk,  $T$  has proof-theoretic strength  $\Lambda$  if:

## Proof-theoretic strength

Let  $\xi[n]$  denote the  $n^{\text{th}}$  element of the **fundamental sequence** for  $\xi < \Gamma_0$ .

Define  $\xi[[n]] = \xi[1][2] \dots [n]$ .

**Fact:** For all  $\xi < \Gamma_0$  there is  $i$  s.t.  $\xi[[i]] = 0$ .

In this talk,  $T$  has proof-theoretic strength  $\Lambda$  if:

1.  $T$  does not prove  $\forall \xi < \Lambda \exists i (\xi[[i]] = 0)$

## Proof-theoretic strength

Let  $\xi[n]$  denote the  $n^{\text{th}}$  element of the **fundamental sequence** for  $\xi < \Gamma_0$ .

Define  $\xi[[n]] = \xi[1][2] \dots [n]$ .

**Fact:** For all  $\xi < \Gamma_0$  there is  $i$  s.t.  $\xi[[i]] = 0$ .

In this talk,  $T$  has proof-theoretic strength  $\Lambda$  if:

1.  $T$  does not prove  $\forall \xi < \Lambda \exists i (\xi[[i]] = 0)$
2. For every  $\alpha < \Lambda$ ,  $T$  proves  $\forall \xi < \alpha \exists i (\xi[[i]] = 0)$

## Proof-theoretic strength

Let  $\xi[n]$  denote the  $n^{\text{th}}$  element of the **fundamental sequence** for  $\xi < \Gamma_0$ .

Define  $\xi[[n]] = \xi[1][2] \dots [n]$ .

**Fact:** For all  $\xi < \Gamma_0$  there is  $i$  s.t.  $\xi[[i]] = 0$ .

In this talk,  $T$  has proof-theoretic strength  $\Lambda$  if:

1.  $T$  does not prove  $\forall \xi < \Lambda \exists i (\xi[[i]] = 0)$
2. For every  $\alpha < \Lambda$ ,  $T$  proves  $\forall \xi < \alpha \exists i (\xi[[i]] = 0)$

### Theorem

1.  $\text{ACA}_0$  has proof-theoretic strength  $\varepsilon_0$  ( $= \varphi_{10}$ )

## Proof-theoretic strength

Let  $\xi[n]$  denote the  $n^{\text{th}}$  element of the **fundamental sequence** for  $\xi < \Gamma_0$ .

Define  $\xi[[n]] = \xi[1][2] \dots [n]$ .

**Fact:** For all  $\xi < \Gamma_0$  there is  $i$  s.t.  $\xi[[i]] = 0$ .

In this talk,  $T$  has proof-theoretic strength  $\Lambda$  if:

1.  $T$  does not prove  $\forall \xi < \Lambda \exists i (\xi[[i]] = 0)$
2. For every  $\alpha < \Lambda$ ,  $T$  proves  $\forall \xi < \alpha \exists i (\xi[[i]] = 0)$

### Theorem

1.  $\text{ACA}_0$  has proof-theoretic strength  $\varepsilon_0$  ( $= \varphi_1 0$ )
2.  $\text{ACA}'_0$  has proof-theoretic strength  $\varepsilon_\omega$  ( $= \varphi_1 \omega$ )

## Proof-theoretic strength

Let  $\xi[n]$  denote the  $n^{\text{th}}$  element of the **fundamental sequence** for  $\xi < \Gamma_0$ .

Define  $\xi[[n]] = \xi[1][2] \dots [n]$ .

**Fact:** For all  $\xi < \Gamma_0$  there is  $i$  s.t.  $\xi[[i]] = 0$ .

In this talk,  $T$  has proof-theoretic strength  $\Lambda$  if:

1.  $T$  does not prove  $\forall \xi < \Lambda \exists i (\xi[[i]] = 0)$
2. For every  $\alpha < \Lambda$ ,  $T$  proves  $\forall \xi < \alpha \exists i (\xi[[i]] = 0)$

### Theorem

1.  $\text{ACA}_0$  has proof-theoretic strength  $\varepsilon_0$  ( $= \varphi_1 0$ )
2.  $\text{ACA}'_0$  has proof-theoretic strength  $\varepsilon_\omega$  ( $= \varphi_1 \omega$ )
3.  $\text{ACA}_0^+$  has proof-theoretic strength  $\varphi_2 0$

## Proof-theoretic strength

Let  $\xi[n]$  denote the  $n^{\text{th}}$  element of the **fundamental sequence** for  $\xi < \Gamma_0$ .

Define  $\xi[[n]] = \xi[1][2] \dots [n]$ .

**Fact:** For all  $\xi < \Gamma_0$  there is  $i$  s.t.  $\xi[[i]] = 0$ .

In this talk,  $T$  has proof-theoretic strength  $\Lambda$  if:

1.  $T$  does not prove  $\forall \xi < \Lambda \exists i (\xi[[i]] = 0)$
2. For every  $\alpha < \Lambda$ ,  $T$  proves  $\forall \xi < \alpha \exists i (\xi[[i]] = 0)$

### Theorem

1.  $\text{ACA}_0$  has proof-theoretic strength  $\varepsilon_0$  ( $= \varphi_1 0$ )
2.  $\text{ACA}'_0$  has proof-theoretic strength  $\varepsilon_\omega$  ( $= \varphi_1 \omega$ )
3.  $\text{ACA}_0^+$  has proof-theoretic strength  $\varphi_2 0$
4.  $\text{ATR}_0$  has proof-theoretic strength  $\Gamma_0$



## Proving termination and independence

Strategy: Define  $\sigma: [2, \infty) \times \mathbb{N} \rightarrow \Lambda$  with the following properties.

## Proving termination and independence

Strategy: Define  $o: [2, \infty) \times \mathbb{N} \rightarrow \Lambda$  with the following properties.

1.  $o_2(m) > o_3(G_1 m) > o_4(G_2 m) > o_5(G_3 m) \dots$

## Proving termination and independence

Strategy: Define  $o: [2, \infty) \times \mathbb{N} \rightarrow \Lambda$  with the following properties.

1.  $o_2(m) > o_3(G_1 m) > o_4(G_2 m) > o_5(G_3 m) \dots$
2.  $o_{i+3}(G_{i+1} m) \geq o_{i+2}(G_i m)[i + 1]$

## Proving termination and independence

Strategy: Define  $o: [2, \infty) \times \mathbb{N} \rightarrow \Lambda$  with the following properties.

1.  $o_2(m) > o_3(G_1 m) > o_4(G_2 m) > o_5(G_3 m) \dots$

2.  $o_{i+3}(G_{i+1} m) \geq o_{i+2}(G_i m)[i + 1]$

► Item 1 suffices to prove that the Goodstein process terminates.

## Proving termination and independence

Strategy: Define  $o: [2, \infty) \times \mathbb{N} \rightarrow \Lambda$  with the following properties.

1.  $o_2(m) > o_3(G_1 m) > o_4(G_2 m) > o_5(G_3 m) \dots$

2.  $o_{i+3}(G_{i+1} m) \geq o_{i+2}(G_i m)[i + 1]$

- ▶ Item 1 suffices to prove that the Goodstein process terminates.
- ▶ Item 2 suffices to prove that the Goodstein process is at least as slow as stepping down the fundamental sequences.

## Proving termination and independence

**Strategy:** Define  $o: [2, \infty) \times \mathbb{N} \rightarrow \Lambda$  with the following properties.

1.  $o_2(m) > o_3(G_1 m) > o_4(G_2 m) > o_5(G_3 m) \dots$

2.  $o_{i+3}(G_{i+1} m) \geq o_{i+2}(G_i m)[i + 1]$

- ▶ Item 1 suffices to prove that the Goodstein process terminates.
- ▶ Item 2 suffices to prove that the Goodstein process is at least as slow as stepping down the fundamental sequences.

**Note:** This requires fundamental sequences with the **Bachmann property**, which we have.

## Ackermann vs. Veblen

- ▶ Ackermannian normal form:  $A_a(k, b) + c$

## Ackermann vs. Veblen

▶ Ackermannian normal form:  $A_a(k, b) + c$

▶ Veblen normal form:  $\varphi_\alpha\beta + \gamma$



## Ackermann vs. Veblen

- ▶ Ackermannian normal form:  $A_a(k, b) + c$
- ▶ Veblen normal form:  $\varphi_\alpha\beta + \gamma$
- ▶ Ackermannian recursion:  $A_{a+1}(k, b + 1) = A_a^k A_{a+1}(k, b)$

## Ackermann vs. Veblen

- ▶ Ackermannian normal form:  $A_a(k, b) + c$
- ▶ Veblen normal form:  $\varphi_\alpha\beta + \gamma$
- ▶ Ackermannian recursion:  $A_{a+1}(k, b + 1) = A_a^k A_{a+1}(k, b)$
- ▶ Fundamental sequences:  $\varphi_{\alpha+1}(\beta + 1)[k] = \varphi_\alpha^k(\varphi_{\alpha+1}\beta + 1)$

## Ackermann vs. Veblen

- ▶ Ackermannian normal form:  $A_a(k, b) + c$
- ▶ Veblen normal form:  $\varphi_\alpha\beta + \gamma$
- ▶ Ackermannian recursion:  $A_{a+1}(k, b + 1) = A_a^k A_{a+1}(k, b)$
- ▶ Fundamental sequences:  $\varphi_{\alpha+1}(\beta + 1)[k] = \varphi_\alpha^k(\varphi_{\alpha+1}\beta + 1)$

Thus, it is tempting to set  $o_k(A_a(k, b) + c) = \varphi_{o_k(a)} o_k(b) + o_k(c)$ .

## Ackermann vs. Veblen

- ▶ Ackermannian normal form:  $A_a(k, b) + c$
- ▶ Veblen normal form:  $\varphi_\alpha\beta + \gamma$
- ▶ Ackermannian recursion:  $A_{a+1}(k, b + 1) = A_a^k A_{a+1}(k, b)$
- ▶ Fundamental sequences:  $\varphi_{\alpha+1}(\beta + 1)[k] = \varphi_\alpha^k(\varphi_{\alpha+1}\beta + 1)$

Thus, it is tempting to set  $o_k(A_a(k, b) + c) = \varphi_{o_k(a)} o_k(b) + o_k(c)$ .

But we can get away with much less!

## Order-types with hereditary subscripts

**Fact:** Every ordinal below  $\varepsilon_0$  can be written in terms of 0, addition, and  $\xi \mapsto \omega^\xi$ .

## Order-types with hereditary subscripts

**Fact:** Every ordinal below  $\varepsilon_0$  can be written in terms of 0, addition, and  $\xi \mapsto \omega^\xi$ .

**Recall:** When writing  $A_a b + c$  with hereditary subscripts,  $a, c$  are written in normal form,  $b$  is treated as parameter.

## Order-types with hereditary subscripts

**Fact:** Every ordinal below  $\varepsilon_0$  can be written in terms of 0, addition, and  $\xi \mapsto \omega^\xi$ .

**Recall:** When writing  $A_a b + c$  with hereditary subscripts,  $a, c$  are written in normal form,  $b$  is treated as parameter.

In this case,  $\langle \ell/k \rangle A_a(k, b) + c = A_{\langle \ell/k \rangle a}(\ell, b) + \langle \ell/k \rangle c$ .

## Order-types with hereditary subscripts

**Fact:** Every ordinal below  $\varepsilon_0$  can be written in terms of 0, addition, and  $\xi \mapsto \omega^\xi$ .

**Recall:** When writing  $A_a b + c$  with hereditary subscripts,  $a, c$  are written in normal form,  $b$  is treated as parameter.

In this case,  $\langle \ell/k \rangle A_a(k, b) + c = A_{\langle \ell/k \rangle a}(\ell, b) + \langle \ell/k \rangle c$ .

### Definition

$\sigma_k: \mathbb{N} \rightarrow \varepsilon_0$  is given recursively by:

- ▶  $\sigma_k(0) = 0$ .



## Order-types with hereditary subscripts

**Fact:** Every ordinal below  $\varepsilon_0$  can be written in terms of 0, addition, and  $\xi \mapsto \omega^\xi$ .

**Recall:** When writing  $A_a b + c$  with hereditary subscripts,  $a, c$  are written in normal form,  $b$  is treated as parameter.

In this case,  $\langle \ell/k \rangle A_a(k, b) + c = A_{\langle \ell/k \rangle a}(\ell, b) + \langle \ell/k \rangle c$ .

### Definition

$o_k: \mathbb{N} \rightarrow \varepsilon_0$  is given recursively by:

- ▶  $o_k(0) = 0$ .
- ▶  $o_k(A_a b + c) = \omega^{\omega \cdot o_k(a) + b} + o_k(c)$ .

## Goodstein sequences for $ACA_0$

Recall: The Goodstein sequence starting at  $m$  is defined by

- ▶  $G_0 m = m$
- ▶  $G_{i+1} m = \langle i + 3 / i + 2 \rangle G_i m - 1$ , if  $G_i m > 0$
- ▶ the sequence terminates if  $G_j m = 0$

## Goodstein sequences for $ACA_0$

Recall: The Goodstein sequence starting at  $m$  is defined by

- ▶  $G_0 m = m$
- ▶  $G_{i+1} m = \langle i + 3 / i + 2 \rangle G_i m - 1$ , if  $G_i m > 0$
- ▶ the sequence terminates if  $G_i m = 0$

Theorem (F-D, Gjetaj, Weiermann)

*The Ackermannian Goodstein process with hereditary subscripts always terminates, but this fact is not provable in  $ACA_0$ .*

## Goodstein sequences for $ACA_0$

Recall: The Goodstein sequence starting at  $m$  is defined by

- ▶  $G_0 m = m$
- ▶  $G_{i+1} m = \langle i + 3 / i + 2 \rangle G_i m - 1$ , if  $G_i m > 0$
- ▶ the sequence terminates if  $G_i m = 0$

Theorem (F-D, Gjetaj, Weiermann)

*The Ackermannian Goodstein process with hereditary subscripts always terminates, but this fact is not provable in  $ACA_0$ .*

**Proof idea.** The mapping  $o_k$  satisfies the required properties for proving these facts.

## Order-types with hereditary arguments

**Fact:** Every ordinal below  $\varphi_1\omega$  can be written in terms of 0, addition,  $\xi \mapsto \omega^\xi$  and  $n \mapsto \varepsilon_n$  with  $n$  finite.

## Order-types with hereditary arguments

**Fact:** Every ordinal below  $\varphi_1\omega$  can be written in terms of 0, addition,  $\xi \mapsto \omega^\xi$  and  $n \mapsto \varepsilon_n$  with  $n$  finite.

**Recall:** When writing  $A_a b + c$  with hereditary arguments,  $b, c$  are written in normal form,  $a$  is treated as parameter.

## Order-types with hereditary arguments

**Fact:** Every ordinal below  $\varphi_1\omega$  can be written in terms of 0, addition,  $\xi \mapsto \omega^\xi$  and  $n \mapsto \varepsilon_n$  with  $n$  finite.

**Recall:** When writing  $A_a b + c$  with hereditary arguments,  $b, c$  are written in normal form,  $a$  is treated as parameter.

In this case,  $\langle l/k \rangle A_a(k, b) + c = A_a(l, \langle l/k \rangle b) + \langle l/k \rangle c$ .

## Order-types with hereditary arguments

**Fact:** Every ordinal below  $\varphi_1\omega$  can be written in terms of 0, addition,  $\xi \mapsto \omega^\xi$  and  $n \mapsto \varepsilon_n$  with  $n$  finite.

**Recall:** When writing  $A_a b + c$  with hereditary arguments,  $b, c$  are written in normal form,  $a$  is treated as parameter.

In this case,  $\langle l/k \rangle A_a(k, b) + c = A_a(l, \langle l/k \rangle b) + \langle l/k \rangle c$ .

### Definition

$\sigma'_k: \mathbb{N} \rightarrow \varepsilon_\omega$  is given recursively by:

- ▶  $\sigma'_k(0) = 0$ .



## Order-types with hereditary arguments

**Fact:** Every ordinal below  $\varphi_1\omega$  can be written in terms of 0, addition,  $\xi \mapsto \omega^\xi$  and  $n \mapsto \varepsilon_n$  with  $n$  finite.

**Recall:** When writing  $A_a b + c$  with hereditary arguments,  $b, c$  are written in normal form,  $a$  is treated as parameter.

In this case,  $\langle l/k \rangle A_a(k, b) + c = A_a(l, \langle l/k \rangle b) + \langle l/k \rangle c$ .

### Definition

$\sigma'_k: \mathbb{N} \rightarrow \varepsilon_\omega$  is given recursively by:

- ▶  $\sigma'_k(0) = 0$ .
- ▶  $\sigma'_k(A_a b + c) = \omega^{\varepsilon_a + \sigma'_k(b)} + \sigma'_k(c)$ .

## Goodstein sequences for $ACA'_0$

Recall: The Goodstein sequence starting at  $m$  is defined by

- ▶  $G_0 m = m$
- ▶  $G_{i+1} m = \langle i + 3/i + 2 \rangle G_i m - 1$ , if  $G_i m > 0$
- ▶ the sequence terminates if  $G_i m = 0$

## Goodstein sequences for $ACA'_0$

Recall: The Goodstein sequence starting at  $m$  is defined by

- ▶  $G_0 m = m$
- ▶  $G_{i+1} m = \langle i + 3/i + 2 \rangle G_i m - 1$ , if  $G_i m > 0$
- ▶ the sequence terminates if  $G_i m = 0$

Theorem (F-D, Weiermann)

*The Ackermannian Goodstein process with hereditary arguments always terminates, but this fact is not provable in  $ACA'_0$ .*

## Goodstein sequences for $ACA_0^+$

**Recall:** When writing  $A_a b + c$  with hereditary subscripts,  $a, b, c$  are all written in normal form.

## Goodstein sequences for $ACA_0^+$

**Recall:** When writing  $A_a b + c$  with hereditary subscripts,  $a, b, c$  are all written in normal form.

In this case,  $\langle \ell/k \rangle A_a(k, b) + c = A_{\langle \ell/k \rangle a}(\ell, \langle \ell/k \rangle b) + \langle \ell/k \rangle c$ .

## Goodstein sequences for $ACA_0^+$

**Recall:** When writing  $A_a b + c$  with hereditary subscripts,  $a, b, c$  are all written in normal form.

In this case,  $\langle \ell/k \rangle A_a(k, b) + c = A_{\langle \ell/k \rangle a}(\ell, \langle \ell/k \rangle b) + \langle \ell/k \rangle c$ .

### Definition

$o_k^+ : \mathbb{N} \rightarrow \varphi_2 0$  is given recursively by:

- ▶  $o_k^+(0) = 0$ .

# Goodstein sequences for $ACA_0^+$

**Recall:** When writing  $A_a b + c$  with hereditary subscripts,  $a, b, c$  are all written in normal form.

In this case,  $\langle \ell/k \rangle A_a(k, b) + c = A_{\langle \ell/k \rangle a}(\ell, \langle \ell/k \rangle b) + \langle \ell/k \rangle c$ .

## Definition

$o_k^+ : \mathbb{N} \rightarrow \varphi_2 0$  is given recursively by:

- ▶  $o_k^+(0) = 0$ .
- ▶  $o_k^+(A_a b + c) = \omega^{\varepsilon_{o_k^+(a)} + o_k^+(b)} + o_k^+(c)$ .

# Goodstein sequences for $ACA_0^+$

**Recall:** When writing  $A_a b + c$  with hereditary subscripts,  $a, b, c$  are all written in normal form.

In this case,  $\langle \ell/k \rangle A_a(k, b) + c = A_{\langle \ell/k \rangle a}(\ell, \langle \ell/k \rangle b) + \langle \ell/k \rangle c$ .

## Definition

$o_k^+ : \mathbb{N} \rightarrow \varphi_2 0$  is given recursively by:

- ▶  $o_k^+(0) = 0$ .
- ▶  $o_k^+(A_a b + c) = \omega^{\varepsilon_{o_k^+(a)} + o_k^+(b)} + o_k^+(c)$ .

## Theorem (F-D, Gjetaj, Weiermann)

*The Ackermannian Goodstein process with hereditary subscripts always terminates, but this fact is not provable in  $ACA_0^+$ .*



## Sandwiching Ackermannian normal forms

Fix  $k$ , set  $A_a b = A_a(k, b)$ .

### 1. First approximation:

- ▶ Choose  $a_1$  maximal such that  $A_{a_1} 0 \leq m$ .

# Sandwiching Ackermannian normal forms

Fix  $k$ , set  $A_a b = A_a(k, b)$ .

## 1. First approximation:

- ▶ Choose  $a_1$  maximal such that  $A_{a_1} 0 \leq m$ .
- ▶ Then, choose  $b_1$  maximal such that  $m_1 := A_{a_1} b_1 \leq m$

## Sandwiching Ackermannian normal forms

Fix  $k$ , set  $A_a b = A_a(k, b)$ .

### 1. First approximation:

▶ Choose  $a_1$  maximal such that  $A_{a_1} 0 \leq m$ .

▶ Then, choose  $b_1$  maximal such that  $m_1 := A_{a_1} b_1 \leq m$

### 2. $A_{a_1} b_1$ could be much smaller than $m$ . If $A_0 m_1 \leq m$ :

# Sandwiching Ackermannian normal forms

Fix  $k$ , set  $A_a b = A_a(k, b)$ .

## 1. First approximation:

- ▶ Choose  $a_1$  maximal such that  $A_{a_1} 0 \leq m$ .
- ▶ Then, choose  $b_1$  maximal such that  $m_1 := A_{a_1} b_1 \leq m$

## 2. $A_{a_1} b_1$ could be much smaller than $m$ . If $A_0 m_1 \leq m$ :

- ▶ Choose  $a_2$  maximal such that  $A_{a_2} m_1 \leq m$ .
- ▶ Then, choose  $b_2$  maximal such that  $m_2 := A_{a_2} b_2 \leq m$ .

# Sandwiching Ackermannian normal forms

Fix  $k$ , set  $A_a b = A_a(k, b)$ .

## 1. First approximation:

▶ Choose  $a_1$  maximal such that  $A_{a_1} 0 \leq m$ .

▶ Then, choose  $b_1$  maximal such that  $m_1 := A_{a_1} b_1 \leq m$

## 2. $A_{a_1} b_1$ could be much smaller than $m$ . If $A_0 m_1 \leq m$ :

▶ Choose  $a_2$  maximal such that  $A_{a_2} m_1 \leq m$ .

▶ Then, choose  $b_2$  maximal such that  $m_2 := A_{a_2} b_2 \leq m$ .

## 3. ...

# Sandwiching Ackermannian normal forms

Fix  $k$ , set  $A_a b = A_a(k, b)$ .

## 1. First approximation:

▶ Choose  $a_1$  maximal such that  $A_{a_1} 0 \leq m$ .

▶ Then, choose  $b_1$  maximal such that  $m_1 := A_{a_1} b_1 \leq m$

## 2. $A_{a_1} b_1$ could be much smaller than $m$ . If $A_0 m_1 \leq m$ :

▶ Choose  $a_2$  maximal such that  $A_{a_2} m_1 \leq m$ .

▶ Then, choose  $b_2$  maximal such that  $m_2 := A_{a_2} b_2 \leq m$ .

## 3. ...

## 4. Finally, $m \equiv_k A_{a_n} b_n + c$ , where $m_n := A_{a_n} b_n$ is such that $A_0 m_n > m$ .

## Order-types with sandwiching normal forms

**Note:** When writing  $A_a b + c$  in sandwiching normal form,  $a, b, c$  are all written in normal form.

## Order-types with sandwiching normal forms

**Note:** When writing  $A_a b + c$  in sandwiching normal form,  $a, b, c$  are all written in normal form.

In this case,  $\langle \ell/k \rangle A_a(k, b) + c = A_{\langle \ell/k \rangle a}(\ell, \langle \ell/k \rangle b) + \langle \ell/k \rangle c$ .



## Order-types with sandwiching normal forms

**Note:** When writing  $A_a b + c$  in sandwiching normal form,  $a, b, c$  are all written in normal form.

In this case,  $\langle \ell/k \rangle A_a(k, b) + c = A_{\langle \ell/k \rangle a}(\ell, \langle \ell/k \rangle b) + \langle \ell/k \rangle c$ .

### Definition

$\sigma_k^*: \mathbb{N} \rightarrow \Gamma_0$  is given recursively by:

▶  $\sigma_k^*(0) = 0$ .

## Order-types with sandwiching normal forms

**Note:** When writing  $A_a b + c$  in sandwiching normal form,  $a, b, c$  are all written in normal form.

In this case,  $\langle \ell/k \rangle A_a(k, b) + c = A_{\langle \ell/k \rangle a}(\ell, \langle \ell/k \rangle b) + \langle \ell/k \rangle c$ .

### Definition

$o_k^*: \mathbb{N} \rightarrow \Gamma_0$  is given recursively by:

- ▶  $o_k^*(0) = 0$ .
- ▶  $o_k^*(A_a b + c) = \phi_{o_k^*(a)} o_k^*(b) + o_k^*(c)$ .

## Order-types with sandwiching normal forms

**Note:** When writing  $A_a b + c$  in sandwiching normal form,  $a, b, c$  are all written in normal form.

In this case,  $\langle \ell/k \rangle A_a(k, b) + c = A_{\langle \ell/k \rangle a}(\ell, \langle \ell/k \rangle b) + \langle \ell/k \rangle c$ .

### Definition

$o_k^*: \mathbb{N} \rightarrow \Gamma_0$  is given recursively by:

- ▶  $o_k^*(0) = 0$ .
- ▶  $o_k^*(A_a b + c) = \phi_{o_k^*(a)} o_k^*(b) + o_k^*(c)$ .

**Note:**  $\phi$  is the **fixed-point free** version of  $\varphi$ .

# Order-types with sandwiching normal forms

**Note:** When writing  $A_a b + c$  in sandwiching normal form,  $a, b, c$  are all written in normal form.

In this case,  $\langle \ell/k \rangle A_a(k, b) + c = A_{\langle \ell/k \rangle a}(\ell, \langle \ell/k \rangle b) + \langle \ell/k \rangle c$ .

## Definition

$o_k^*: \mathbb{N} \rightarrow \Gamma_0$  is given recursively by:

- ▶  $o_k^*(0) = 0$ .
- ▶  $o_k^*(A_a b + c) = \phi_{o_k^*(a)} o_k^*(b) + o_k^*(c)$ .

**Note:**  $\phi$  is the **fixed-point free** version of  $\varphi$ .

## Theorem (Arai, F-D, Wainer, Weiermann)

*The Ackermannian Goodstein process with sandwiching normal forms always terminates, but this fact is not provable in  $\text{ATR}_0$ .*

## Optimality of Ackermannian normal forms

**Question:** Can we use the parametrized Ackermann function to define Goodstein processes of **greater** proof-theoretic strength?

## Optimality of Ackermannian normal forms

**Question:** Can we use the parametrized Ackermann function to define Goodstein processes of **greater** proof-theoretic strength?

**Answer:** No, because the sandwiching process yields maximality under base change.

# Optimality of Ackermannian normal forms

**Question:** Can we use the parametrized Ackermann function to define Goodstein processes of **greater** proof-theoretic strength?

**Answer:** No, because the sandwiching process yields maximality under base change.

## Theorem (Maximality of base change)

*Given any base- $k$  Ackermannian term  $\tau$ ,  $\ell \geq k$ , and  $m = |\tau|$ , we have that*

$$\langle \ell/k \rangle_{\tau} \leq \langle \ell/k \rangle_m$$

*(where  $\langle \ell/k \rangle_x$  denotes the base-change for the sandwiching normal forms).*

## Goodstein walks

A **Goodstein walk** (starting on  $m$ ) is any sequence  $(m_i)_{i < \alpha}$ , where  $\alpha \leq \omega$  and



## Goodstein walks

A **Goodstein walk** (starting on  $m$ ) is any sequence  $(m_i)_{i < \alpha}$ , where  $\alpha \leq \omega$  and

1.  $m_0 = m$

## Goodstein walks

A **Goodstein walk** (starting on  $m$ ) is any sequence  $(m_i)_{i < \alpha}$ , where  $\alpha \leq \omega$  and

1.  $m_0 = m$
2. if  $m_i > 0$  we choose **any** base- $(i + 2)$  term  $\tau$  with  $|\tau| = m_i$  and set

$$m_{i+1} = |\langle i + 3 \rangle m_i| - 1$$

## Goodstein walks

A **Goodstein walk** (starting on  $m$ ) is any sequence  $(m_i)_{i < \alpha}$ , where  $\alpha \leq \omega$  and

1.  $m_0 = m$
2. if  $m_i > 0$  we choose **any** base- $(i + 2)$  term  $\tau$  with  $|\tau| = m_i$  and set

$$m_{i+1} = |\langle i + 3 \rangle m_i| - 1$$

3. if  $m_i > 0$  the sequence terminates

# Termination of Goodstein walks

## Theorem

*Every Ackermannian Goodstein walk terminates in finite time.*

# Termination of Goodstein walks

## Theorem

*Every Ackermannian Goodstein walk terminates in finite time.*

## Proof.

Let  $(m_i)_{i < \alpha}$  be a Goodstein walk. We prove by induction that  $m_i \leq G_i m$ .

# Termination of Goodstein walks

## Theorem

*Every Ackermannian Goodstein walk terminates in finite time.*

## Proof.

Let  $(m_i)_{i < \alpha}$  be a Goodstein walk. We prove by induction that  $m_i \leq G_i m$ .

Base case:  $m_0 = m = G_0 m$

# Termination of Goodstein walks

## Theorem

*Every Ackermannian Goodstein walk terminates in finite time.*

## Proof.

Let  $(m_i)_{i < \alpha}$  be a Goodstein walk. We prove by induction that  $m_i \leq G_i m$ .

Base case:  $m_0 = m = G_0 m$

Inductive step: We have written  $m_i = |\tau|$ .

# Termination of Goodstein walks

## Theorem

*Every Ackermannian Goodstein walk terminates in finite time.*

## Proof.

Let  $(m_i)_{i < \alpha}$  be a Goodstein walk. We prove by induction that  $m_i \leq G_i m$ .

Base case:  $m_0 = m = G_0 m$

Inductive step: We have written  $m_i = |\tau|$ .

$$m_{i+1} = |\langle i + 3/i + 2 \rangle \tau| - 1$$



# Termination of Goodstein walks

## Theorem

*Every Ackermannian Goodstein walk terminates in finite time.*

## Proof.

Let  $(m_i)_{i < \alpha}$  be a Goodstein walk. We prove by induction that  $m_i \leq G_i m$ .

Base case:  $m_0 = m = G_0 m$

Inductive step: We have written  $m_i = |\tau|$ .

$$\begin{aligned} m_{i+1} &= \langle i + 3/i + 2 \rangle \tau - 1 \\ &\leq \langle i + 3/i + 2 \rangle m_i - 1 \end{aligned}$$

# Termination of Goodstein walks

## Theorem

*Every Ackermannian Goodstein walk terminates in finite time.*

## Proof.

Let  $(m_i)_{i < \alpha}$  be a Goodstein walk. We prove by induction that  $m_i \leq G_i m$ .

Base case:  $m_0 = m = G_0 m$

Inductive step: We have written  $m_i = |\tau|$ .

$$\begin{aligned} m_{i+1} &= \langle i + 3/i + 2 \rangle \tau - 1 \\ &\leq \langle i + 3/i + 2 \rangle m_i - 1 \\ &\stackrel{\text{IH}}{\leq} \langle i + 3/i + 2 \rangle G_i m - 1 \end{aligned}$$

# Termination of Goodstein walks

## Theorem

*Every Ackermannian Goodstein walk terminates in finite time.*

## Proof.

Let  $(m_i)_{i < \alpha}$  be a Goodstein walk. We prove by induction that  $m_i \leq G_i m$ .

Base case:  $m_0 = m = G_0 m$

Inductive step: We have written  $m_i = |\tau|$ .

$$\begin{aligned} m_{i+1} &= |\langle i + 3/i + 2 \rangle \tau| - 1 \\ &\leq \langle i + 3/i + 2 \rangle m_i - 1 \\ &\stackrel{\text{IH}}{\leq} \langle i + 3/i + 2 \rangle G_i m - 1 \\ &= G_{i+1} m \end{aligned}$$

# Termination of Goodstein walks

## Theorem

*Every Ackermannian Goodstein walk terminates in finite time.*

## Proof.

Let  $(m_i)_{i < \alpha}$  be a Goodstein walk. We prove by induction that  $m_i \leq G_i m$ .

Base case:  $m_0 = m = G_0 m$

Inductive step: We have written  $m_i = |\tau|$ .

$$\begin{aligned} m_{i+1} &= |\langle i + 3/i + 2 \rangle \tau| - 1 \\ &\leq \langle i + 3/i + 2 \rangle m_i - 1 \\ &\stackrel{\text{IH}}{\leq} \langle i + 3/i + 2 \rangle G_i m - 1 \\ &= G_{i+1} m \end{aligned}$$



## What lies beyond

Parametrized fast-growing hierarchy  $A_\alpha(k, b) = A_\alpha b$ :

- ▶  $A_\alpha(-1) = 1$

# What lies beyond

Parametrized fast-growing hierarchy  $A_\alpha(k, b) = A_\alpha b$ :

▶  $A_\alpha(-1) = 1$

▶  $A_0 b = b + 1$

## What lies beyond

Parametrized fast-growing hierarchy  $A_\alpha(k, b) = A_\alpha b$ :

▶  $A_\alpha(-1) = 1$

▶  $A_0 b = b + 1$

▶  $A_\alpha b = A_{\alpha[b]}^k A_\alpha(b - 1) \quad (\alpha \neq 0)$

## What lies beyond

Parametrized fast-growing hierarchy  $A_\alpha(k, b) = A_\alpha b$ :

▶  $A_\alpha(-1) = 1$

▶  $A_0 b = b + 1$

▶  $A_\alpha b = A_{\alpha[b]}^k A_\alpha(b - 1) \quad (\alpha \neq 0)$

Define  $\mathbb{A}_k(\omega\alpha + b) := A_\alpha b$



## Fast-growing normal forms

**Fact:** Given  $m \geq 0$  and  $b \geq 0$ , there exists a maximal  $\xi$  such that  $\mathbb{A}_k(\xi) \leq m$  and  $\xi$  has maximal coefficient  $\geq b$ .

## Fast-growing normal forms

**Fact:** Given  $m \geq 0$  and  $b \geq 0$ , there exists a maximal  $\xi$  such that  $\mathbb{A}_k(\xi) \leq m$  and  $\xi$  has maximal coefficient  $\geq b$ .

(**Example:**  $\omega^{\omega^2} + \omega^{\omega \cdot 3} \cdot 2 + 1$  has maximal coefficient 3)

## Fast-growing normal forms

**Fact:** Given  $m \geq 0$  and  $b \geq 0$ , there exists a maximal  $\xi$  such that  $\mathbb{A}_k(\xi) \leq m$  and  $\xi$  has maximal coefficient  $\geq b$ .

(**Example:**  $\omega^{\omega^2} + \omega^{\omega \cdot 3} \cdot 2 + 1$  has maximal coefficient 3)

Sandwiching for  $m$ : Sequence  $(\xi_0, \dots, \xi_n)$  such that

1.  $\xi_0 = 0$

## Fast-growing normal forms

**Fact:** Given  $m \geq 0$  and  $b \geq 0$ , there exists a maximal  $\xi$  such that  $\mathbb{A}_k(\xi) \leq m$  and  $\xi$  has maximal coefficient  $\geq b$ .

(**Example:**  $\omega^{\omega^2} + \omega^{\omega \cdot 3} \cdot 2 + 1$  has maximal coefficient 3)

Sandwiching for  $m$ : Sequence  $(\xi_0, \dots, \xi_n)$  such that

1.  $\xi_0 = 0$
2.  $\xi_{i+1}$  is maximal such that

▶  $\mathbb{A}_k(\xi_{i+1}) \leq m$

## Fast-growing normal forms

**Fact:** Given  $m \geq 0$  and  $b \geq 0$ , there exists a maximal  $\xi$  such that  $\mathbb{A}_k(\xi) \leq m$  and  $\xi$  has maximal coefficient  $\geq b$ .

(**Example:**  $\omega^{\omega^2} + \omega^{\omega \cdot 3} \cdot 2 + 1$  has maximal coefficient 3)

Sandwiching for  $m$ : Sequence  $(\xi_0, \dots, \xi_n)$  such that

1.  $\xi_0 = 0$

2.  $\xi_{i+1}$  is maximal such that

▶  $\mathbb{A}_k(\xi_{i+1}) \leq m$

▶  $\xi_{i+1}$  has maximal coefficient at least  $\mathbb{A}_k(\xi_i)$

## Goodstein processes for the fast-growing hierarchy

We can define a base change operation by replacing each instance of  $\mathbb{A}_k(\zeta)$  by  $\mathbb{A}_{k+1}(\zeta)$  in **every coefficient** of  $\xi$  in  $\mathbb{A}_k(\xi)$

## Goodstein processes for the fast-growing hierarchy

We can define a base change operation by replacing each instance of  $\mathbb{A}_k(\zeta)$  by  $\mathbb{A}_{k+1}(\zeta)$  in **every coefficient** of  $\xi$  in  $\mathbb{A}_k(\xi)$

Does this process terminate?

## Goodstein processes for the fast-growing hierarchy

We can define a base change operation by replacing each instance of  $\mathbb{A}_k(\zeta)$  by  $\mathbb{A}_{k+1}(\zeta)$  in **every coefficient** of  $\xi$  in  $\mathbb{A}_k(\xi)$

Does this process terminate?

**Work in progress:** This Goodstein process (or a suitable variant) yields a maximal Goodstein principle for  $\mathbf{ID}_1$ .



## Goodstein processes for the fast-growing hierarchy

We can define a base change operation by replacing each instance of  $\mathbb{A}_k(\zeta)$  by  $\mathbb{A}_{k+1}(\zeta)$  in **every coefficient** of  $\xi$  in  $\mathbb{A}_k(\xi)$

Does this process terminate?

**Work in progress:** This Goodstein process (or a suitable variant) yields a maximal Goodstein principle for **ID**<sub>1</sub>.

**Ordinal mapping:** Replace each instance of  $\omega$  by  $\omega_1$  and each instance of  $\mathbb{A}_k$  by  $\vartheta$

## Goodstein processes for the fast-growing hierarchy

We can define a base change operation by replacing each instance of  $\mathbb{A}_k(\zeta)$  by  $\mathbb{A}_{k+1}(\zeta)$  in **every coefficient** of  $\xi$  in  $\mathbb{A}_k(\xi)$

Does this process terminate?

**Work in progress:** This Goodstein process (or a suitable variant) yields a maximal Goodstein principle for **ID**<sub>1</sub>.

**Ordinal mapping:** Replace each instance of  $\omega$  by  $\omega_1$  and each instance of  $\mathbb{A}_k$  by  $\vartheta: \mathbf{Ord} \rightarrow \omega_1$

## Concluding remarks

- ▶ Goodstein-like processes can readily be generated by choosing different functions with which to represent natural numbers.

## Concluding remarks

- ▶ Goodstein-like processes can readily be generated by choosing different functions with which to represent natural numbers.
- ▶ Even within a fixed notation system, the proof-theoretic strength of termination may vary wildly depending on the precise setup of the Goodstein process.

## Concluding remarks

- ▶ Goodstein-like processes can readily be generated by choosing different functions with which to represent natural numbers.
- ▶ Even within a fixed notation system, the proof-theoretic strength of termination may vary wildly depending on the precise setup of the Goodstein process.
- ▶ However, this proof-theoretic strength has an upper bound given by using 'optimal' normal forms.

## Concluding remarks

- ▶ Goodstein-like processes can readily be generated by choosing different functions with which to represent natural numbers.
- ▶ Even within a fixed notation system, the proof-theoretic strength of termination may vary wildly depending on the precise setup of the Goodstein process.
- ▶ However, this proof-theoretic strength has an upper bound given by using 'optimal' normal forms.
- ▶ **Question.** Do we still obtain termination for Goodstein walks:

## Concluding remarks

- ▶ Goodstein-like processes can readily be generated by choosing different functions with which to represent natural numbers.
- ▶ Even within a fixed notation system, the proof-theoretic strength of termination may vary wildly depending on the precise setup of the Goodstein process.
- ▶ However, this proof-theoretic strength has an upper bound given by using 'optimal' normal forms.
- ▶ **Question.** Do we still obtain termination for Goodstein walks:
  - ▶ If we add multiplication to Ackermannian notation?

## Concluding remarks

- ▶ Goodstein-like processes can readily be generated by choosing different functions with which to represent natural numbers.
- ▶ Even within a fixed notation system, the proof-theoretic strength of termination may vary wildly depending on the precise setup of the Goodstein process.
- ▶ However, this proof-theoretic strength has an upper bound given by using 'optimal' normal forms.
- ▶ **Question.** Do we still obtain termination for Goodstein walks:
  - ▶ If we add multiplication to Ackermannian notation?
  - ▶ If we replace the Ackermann function by term exponentiation of the form  $\sigma^\tau$ ?



Thank you!